1		THE HONORABLE MAUREEN McKEE
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7	IN THE SUPERIOR COURT OF	THE STATE OF WASHINGTON
8	IN AND FOR THE COUNTY OF KING	
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10	MICHAEL BERGESON, individually and on	No. 22-2-09089-8 SEA
11	behalf of others similarly situated,	
12	Plaintiff,	PLAINTIFF'S MOTION FOR ATTORNEYS' FEES, COSTS, AND
13		SERVICE AWARD, AND MEMORANDUM IN SUPPORT
14	V.	
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16	VIRGINIA MASON MEDICAL CENTER,	
17	Defendants.	
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-	Plaintiff's Motion For Attorneys' Fees, Costs, and Service Awards, and Memorandum in Support - i	Milberg Coleman Bryson Phillips Grossman 5335 Wisconsin Ave NW, Suite 440 Washington, DC 20015 Phone: (866) 252-0878

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Plaintiff Michael Bergeson ("Plaintiff") respectfully submits this Memorandum in Support of his Motion for Attorneys' Fees, Costs, and Service Awards.

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I.

#### **INTRODUCTION**

On April 7, 2023, this Court preliminarily approved a proposed Class Action Settlement between Plaintiff and Defendant Virginia Mason Medical Center ("Defendant" or "VMMC", and together with Plaintiff the "Parties"). Class Counsel's efforts created significant benefits for the approximately 1,533 individuals who are a part of the Settlement Class: (1) up to \$2,500 per Class Member in ordinary expense reimbursements, lost time reimbursements and extraordinary expense reimbursements; (2) two years of credit monitoring and identity protection services from *my*TrueIdentity; and (3) equitable relief in the form of information security enhancements which will help to ensure that the Private Information of Settlement Class Members, which is still in VMMC's possession, is protected. In addition to the benefits described above, the Settlement Agreement provides that VMMC is to pay all costs of Notice and Settlement Administration, attorneys fees and costs awarded by the Court, and an approved Plaintiff's Service Awardseparate and apart from the funds available to Settlement Class Members.

Class Counsel have zealously prosecuted Plaintiff's and Class Members' claims, achieving the Settlement Agreement only after extensive investigation and arm's length negotiations. As compensation for the significant benefit conferred on the Settlement Class, Class Counsel respectfully move the Court for an award of attorneys' fees and costs in the amount of \$100,000, which represents far below 25% of the total potential benefit earned for the Class—the benchmark for fees regularly used by Washington Courts. See Bowles v. Wash. Dep't of Ret. Sys., 121 Wash. 2d 52, 72 (1993). This request should be approved because it is extremely modest in comparison to the benefit negotiated, and is reasonable and appropriate amount in light of the substantial risks presented in prosecuting this action in a rapidly evolving area of law, the quality and extent of

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PLAINTIFF'S MOTION FOR ATTORNEYS' FEES, COSTS, AND SERVICE AWARDS, AND **MEMORANDUM IN SUPPORT - 1** 

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work conducted, and the stakes of the case. Class Counsel also respectfully move the Court for an award of \$1,500 to Plaintiff for his work on behalf of the Class.

II.

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### STATEMENT OF ISSUES AND RELIEF REQUESTED

Accordingly, and relying on the following Memorandum of Points and Authorities, the Declaration of Plaintiff's Counsel David K. Lietz filed herewith ("Lietz Fees Decl."), and the other papers on file in this matter, Plaintiff respectfully requests the Court grant Plaintiff's Motion for Attorneys' Fees, Costs, and Service Award and enter an order that:

(1) grants Plaintiff's request for attorneys' fees and costs in the amount of \$100,000;

(2) grants Plaintiff's request for a Service Award in the amount of \$1,500; and

(3) granting such other, further, or different relief as the Court deems just and proper.

#### III.

## STATEMENT OF FACTS AND EVIDENCE RELIED UPON<sup>1</sup>

a.

## Initial Investigation and Communications

VMMC is a health-care services provider and part of the larger Virginia Mason Franciscan Health, which is one of the largest healthcare providers in the greater Seattle area. *See* Decl. of David K. Lietz in Support of Pl.'s Unopposed Mot. for Prelim. App. of Class Action Settlement ¶ 30.b ("Lietz MPA Decl."). In the ordinary course of receiving treatment and health care services from VMMC, patients are required to provide sensitive personal and private information deemed necessary to provide care. *Id.* ¶ 30.c.

Plaintiff alleges the Data Incident, which occurred between January 16-20, 2022, occurred when unauthorized person(s) accessed VMMC's computer network. *Id.* ¶ 30.d. The information the information potentially accessed in the Data Breach included the Private Information of Plaintiff and approximately 1,523 Class Members. *Id.* ¶ 30.e.

<sup>1</sup> This section has been adopted, in large part, from Plaintiff's Unopposed Motion for Preliminary Approval, filed on or about March 15, 2023 ("MPA").

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#### b. **Procedural Posture**

After receiving notification of the Data Incident, Plaintiff filed his initial complaint on June 15, 2022, bringing causes of action for: (1) violation Washington State Uniform Health Care Information Act ("UHCIA"); (2) violation of the Washington State Consumer Protection Act ("CPA"); (3) Negligence; (4) Negligence per se; (5) Breach of Express Contract; (6) Breach of Implied Contract; (7) Breach of Implied Covenant of Good Faith and Fair Dealing; (8) Breach of Confidence; (9) Unjust Enrichment; (10) Breach of Fiduciary Duty. Id. at ¶ 32. Shortly after Plaintiff filed his complaint, the Parties determined that this case could benefit from early settlement discussions. Id. at  $\P$  34. Over the next several months the parties engaged in arm'slength negotiations and reached an agreement. The Court entered its Preliminary Approval Order on April 7, 2023. Pursuant to the timeline set forth in the Preliminary Approval Order, Plaintiff now submits this Motion for Attorneys' Fees, Costs, and Service Award.

d.

#### Summary of Settlement<sup>2</sup>

#### 1. Settlement Class

The Settlement Class includes all persons who were sent written notification by Virginia Mason Medical Center that their PII was potentially compromised as a result of the Data Incident. *Id.* at ¶ 41.

#### 2. Settlement Benefits

The Settlement negotiated on behalf of the Class provides for three separate forms of relief. Id. at ¶ 40. First, VMMC will provide direct monetary relief to Class Members for reimbursement of actual ordinary and extraordinary expenses stemming from the Data Incident, as well as for lost time spent dealing with the Data Incident. Id. Second, all Settlement Class Members will have the ability to claim two years of three bureau credit monitoring, and identity theft insurance provided

<sup>2</sup> The Settlement Agreement can be found in full at Lietz MPA Decl., Ex. 1.

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by TransUnion *my*TrueIdentity. *Id.* Finally, VMMC will provide equitable relief in the form of information security enhancements. *Id.* 

The payments available to Settlement Class Members are divided into three separate categories. The first category is expense reimbursement for Ordinary Losses. This allows Settlement Class Members to submit a claim for reimbursement out-of-pocket expenses, fairly traceable to the Data Incident, up to \$500 per Class Member.

Settlement Class Members are also eligible to receive reimbursement for up to three hours of documented lost time spent dealing with the Data Incident (calculated at the rate of \$20 per hour). *Id.* at  $\P$  42.a. Claims for lost time are included within the \$500.00 cap on Ordinary Losses. *Id.* 

Settlement Class Members may also seek reimbursement for Extraordinary Losses up to \$2,500 per Class Member. This category allows Class Members to seek reimbursement for monetary out-of-pocket losses incurred as a result of the Data Incident and not already covered by one or more of the normal reimbursement categories. *Id.* at ¶ 42.b.

In addition to claims for reimbursement of expenses and lost time, Settlement Class Members are eligible to claim two years of three bureau credit monitoring and identity theft insurance, paid for by the Defendant. *Id.* at  $\P$  40.

All Settlement Class Members will also benefit from the information security enhancements VMMC has implemented since the Data Incident as part of the Settlement. *Id.* at ¶ 43.

3.

### Notice and Claims Process

The Court appointed Postlewaite & Netterville ("P&N") as the Notice Specialist and Claims Administrator in this case. *See* PA Order. Upon information and belief, Notice in this case has been provided as agreed upon and as approved by the Court's Preliminary Approval Order and will be reported on more extensively in Plaintiff's Motion for Final Approval of Class Action

PLAINTIFF'S MOTION FOR ATTORNEYS' FEES, COSTS, AND SERVICE AWARDS, AND MEMORANDUM IN SUPPORT - 4

Settlement. Lietz Fees Decl. ¶ 20,23. The Claims Period is ongoing. *Id.* As of June 22, 2023, no
requests for exclusion from or objections to the Settlement Agreement have been received by the
Settlement Administrator or Class Counsel. Lietz Fees Decl. ¶¶ 21-22. Plaintiff will file a
Declaration from P&N certifying completion of Notice and detailing the status of the Claims
Administration process with her Motion for Final Approval. *Id.* ¶ 23.

IV.

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# THE COURT SHOULD APPROVE THE REQUEST FOR A SERVICE AWARD TO THE CLASS REPRESENTATIVES, ATTORNEYS' FEES, AND COSTS. a. Plaintiff's Service Award is Justified.

The Settlement Agreement provides for a Service Award to Plaintiff in the amount of \$1,500—an amount less than half the value for which any Class Member can make a claim. The rationale for making service or incentive awards to named plaintiffs is that he or she should be compensated for the expense or risk he has incurred in conferring a benefit on other members of the Class. *Rodriguez v. W. Publ'g Corp.*, 563 F.3d 948, 958 (9th Cir. 2009). They serve as premiums in addition to any claims-based recovery, and promote the public policy of representative lawsuits. *Id.* at 958–59. The Service Award sought by Plaintiff here is modest in comparison with others regularly approved in Washington and Ninth Circuit Courts. *See e.g.*, *Bailey v. Grays Harbor Cnty. Pub. Hosp. Dist. No. 2*, No. 20-2-00217014 (Wash. Super. Ct. Sept. 21, 2020) (approving service awards in the amount of \$2,500 to each class representative); *In re Online DVD-Rental Antitrust Litig.*, 77 F. 3d 934, 947–48 (9th Cir. 2015) (approving service payments to plaintiffs in the amount of \$5,000 each); *Johnson v. MGM Holdings, Inc.*, No. 18-35967 (9th Cir. 2019) (affirming district court's order awarding service awards in the amount of \$1,500).

The modest Service Award here serves the purpose of compensating Plaintiff for his efforts, which include maintaining contact with counsel, participating in client interviews, providing relevant documents, assisting in the investigation of the case, remaining available for

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consultation throughout mediation, reviewing relevant pleadings and the Settlement Agreement, and for answering counsel's many questions. Lietz Fees Decl. ¶ 9. It is further justified by the benefits conferred on the Class due to Plaintiff's willingness to serve as a representative. Because of Plaintiff's desire to file suit here, Class Members are able to make a claim for two years of credit monitoring and identity theft insurance valued at \$29.95 per month, for a value of \$718.80 per class member (\$29.95 per month x 24 months = \$718.80), up to \$500 of ordinary expense reimbursements and lost time, up to \$2,500 of extraordinary expense reimbursements, and gain the benefit of increased data protection measures designed to protect their Private Information that remains in VMMC's possession. As such, the service award requested for Plaintiff is reasonable.

## Costs and Fees Sought by Counsel for Plaintiff are Reasonable and Should be Approved.

After agreeing to the terms of the Settlement on behalf of the Class, counsel for Plaintiff negotiated their fees and costs separate from the benefit to Class Members, in the amount of \$100,000. Lietz MPA Decl. ¶¶ 56–57.

The Percentage-of-Recovery Approach is Appropriate for Determining Fees.

Under Washington law, the percentage-of-recovery approach is used in calculating fees in common fund/common benefit cases. Vizcaino v. Microsoft Corp., 290 F.3d 1043 (9th Cir. 2002); Bowles v. Wash. Dep't of Ret. Sys., 121 Wash. 2d 52, 72 (1993); Bowles v. Wash. Dep't of Ret. Sys., 121 Wash. 2d 52, 73-74 (1993) (rejecting a lodestar critique in a common fund case and applying the percent-of-recovery approach). The common benefit doctrine stems from the premise that those who receive the benefit of a lawsuit without contributing to its costs are "unjustly enriched" at the expense of the successful litigant. Boeing Co. v. Van Gemert, 444 U.S. 472, 478 (1980) (noting that the preferred method in common fund cases has been to award a reasonable percentage of the fund). "Stated differently, the doctrine allows an attorney 'in equity to recover fees in the absence of a contract or statute when his services confer a substantial benefit for a group

PLAINTIFF'S MOTION FOR ATTORNEYS' FEES, COSTS, AND SERVICE AWARDS, AND **MEMORANDUM IN SUPPORT - 6** 

of people." Dolan v. King Cnty., 13 Wash. App. 2d 1054 (May 12, 2020) (quoting Lynch v. Deaconess Med. Ctr., 113 Wash. 2d 162, 167-68 (1989)).

In calculating a percentage fee award in a class action involving a settlement fund, the Supreme Court has recognized that a litigant or a lawyer who recovers a common fund for the benefit of persons other than himself or his client is entitled to a reasonable attorney's fee from the fund as a whole, even if part of the fund remains unclaimed or reverts to the defendant. Dolan v. King Cnty., 13 Wash. App. 2d 1054 (May 12, 2020) (quoting Van Gemert, 444 U.S. at 478; see also Id. at 480 (the class members' "right to share the harvest of the lawsuit upon proof of their identity, whether or not they exercise it, is a benefit in the fund created by the efforts of the class representatives and their counsel").

2.

#### The Requested Fee Satisfies Washington's Percentage-of Recovery Test.

Acceptable fees often range between 20% to 30% of a common fund. Bowles v. Wash. Dep't of Ret. Sys., 121 Wash. 2d at 72; see also Six (6) Mexican Workers v. Ariz. Citrus Growers, 904 F.2d 1301, 1311 (9th Cir. 1990). In Washington, the benchmark is 25% percent of the fund, and courts allow for adjustments from this figure where appropriate. Bowles v. Wash. Dep't of Ret. Sys., 121 Wash. 2d at 72. "The benchmark percentage should be adjusted, or replaced by a lodestar calculation, when special circumstances indicate that the percentage recovery would be either too small or too large in light of the hours devoted to the case or other relevant factors." Six (6) Mexican Workers v. Ariz. Citrus Growers, 904 F.2d 1301, 1311 (9th Cir. 1990). Washington courts, including those in King County, have regularly granted fees requests equal to 25% or more of the common fund. See, e.g., Romatka v. Brinker Int'l Payroll Co., No. 132149371, 2014 WL 6778248 (Wash. Super. Ct. Oct. 24, 2014) (approving fees of 25% of the value of the common fund); Barnett v. Wal-Mart Stores, Inc., No. 01-2-24553-8, 2009 WL 2377907 (Wash. Super. Ct. July 10, 2009) (approving \$10.5 million in fees, equal to 30% of the common fund); see also Bailey v. Grays Harbor Cnty. Pub. Hosp. Dist. No. 2, No. 20-2-00217014 (Wash. Super. Ct. Sept. 21, 2020)

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(granting final approval of data breach class action settlement and awarding fees equal to 20% the total settlement value in claims made settlement).

As is true with all common fund cases, this Settlement provides a guaranteed and ascertainable benefit to the class that will not revert back to Defendant. Then, in addition to the guaranteed benefit (the increased data security safeguards, ensuring that Settlement Class Members' Private Information is better protected), Settlement Class Members can *also* make a claim for lost time and expense reimbursements up to \$2,500 per person, in addition to claiming two years of credit monitoring and identity theft protection, valued at \$718.80 per person. Though subject to a per person maximum, the monetary relief for Class Members is *not capped in the aggregate*, which means the maximum amount available to Settlement Class Members is approximately \$4,934,420.40.<sup>3</sup> In fact, if all Settlement Class Members made a claim for *only* the credit monitoring, which they are entitled to simply for being a member of the class, without having incurred any out of pocket losses or lost time, and zero additional expense, the value of the actual monetary relief to the Settlement Class would be over \$1.1 million.

Here, Class Counsel has negotiated, for all Class Members, "the right to share in a harvest" equal to up to \$3,218.80 per person—or nearly \$5 million, plus the information security enhancements implemented by VMMC. *See Van Gemert*, 444 U.S. at 478. Even if no Settlement Class Member has yet sustained a loss that is reimbursable under the Settlement, the fees provided amount to just 8.9% of the benefit conferred on the Class—before even taking in to account the equitable relief they will each receive in the form of heightened information security measures taken by VMMC to protect their Private Information. In whole, the requested attorneys' fees actually amount to less than 2% of the total benefit negotiated by Class Counsel and provided for the Class. Thus, Plaintiff's fee request is eminently reasonable.

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<sup>&</sup>lt;sup>3</sup> (1,533 Class Members) x (3,218.80, the maximum amount of monetary relief (including the credit monitoring) per Class Member) = 4,934,420.20.

The fee here is further justified based on the contingent nature of this case (see Lietz Fees Decl. ¶¶ 12-17)—and the risks involved with data breach cases in general. While almost all class actions involve a high level of risk, expense, and complexity, numerous courts have recognized that data breach cases are especially risky, expensive, and complex given the unsettled and evolving nature of the law. See, e.g., In re Sonic Corp. Customer Data Sec. Breach Litig., 2019 WL 3773737, at \*7 (N.D. Ohio Aug. 12, 2019) ("Data breach litigation is complex and risky. This unsettled area of law often presents novel questions for courts. And of course, juries are always unpredictable."); In re Anthem, Inc. Data Breach Litig., 327 F.R.D. 299, 315 (N.D. Cal. 2018) (noting that "many of the legal issues presented in [] data-breach case[s] are novel"). This risk is highlighted by the fact that data breach cases have faced substantial hurdles in making it past the pleading stage—and more in obtaining and maintaining certification. See Hammond v. Bank of N.Y. Mellon Corp., No. 08 Civ. 6060(RMB)(RLE), 2010 WL 2643307, at \*1 (S.D.N.Y. June 25, 2010) (collecting data breach cases dismissed at the Rule 12(b)(6) or Rule 56 stage); see also In re Hannaford Bros. Co. Customer Data Sec. Breach Litig., 293 F.R.D. 21 (D. Me. 2013) (denying certification on the basis that Plaintiffs in a data breach case could not show that common issues predominated).

Accordingly, Class Counsel's request for attorneys' fees is reasonable, supported by a lodestar cross check especially in light of the contingent nature of the case, and should be approved.

4. <u>Class Counsel's Costs are Reasonable and Should be Reimbursed.</u>

Expense awards are provided for typical out-of-pocket expenses that are or would be charged to a fee-paying client, and should be reasonable and necessary. *See In re Immune Resp. Sec. Litig.*, 497 F. Supp. 2d 1166, 1177–78 (S.D. Cal. 2007); (citing *Harris v. Marhoefer*, 24 F.3d 16, 19 (9th Cir. 1994)). Class Counsel here seeks reasonable and necessary costs, which are included in the \$100,000 award requested. Lietz Fees Decl. ¶ 19. The litigation expenses incurred by Plaintiff's counsel in this case include: local counsel costs, filing fees, service fees, and research

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costs. Lietz Fees Decl. ¶ 19. The costs incurred were reasonable, and necessary to resolve the litigation. Id.; see also In re Immune Resp.Sec. Litig., 497 F. Supp. 2d at 1177-78 (finding \$261,971.79 in costs such as filing fees, photocopy costs, travel expenses, postage, telephone and fax costs, computerized legal research fees, and mediation expenses are relevant and necessary expenses in class action litigation). Expense awards are provided for typical out-of-pocket expenses that are or would be charged to a fee-paying client, and should be reasonable and necessary. Id. (citing Harris v. Marhoefer, 24 F.3d at 19).

These costs are included in Plaintiff's request for \$100,000 in attorney's fees, costs, and expenses combined. Because the costs are reasonable and necessary for the litigation, Class Counsel's motion should be granted.

#### CONCLUSION

Class Counsel, with the help of Plaintiff, has made significant benefits available to Class Members. In return, they seek fees, costs, and a Service Award well below the range of those regularly approved by Washington Courts. As of June 22, 2023, no Class Member has objected to any part of the Settlement—including the requests before the Court today. The fees, costs, and Service Award are inherently reasonable, and as such Plaintiff respectfully requests their approval.

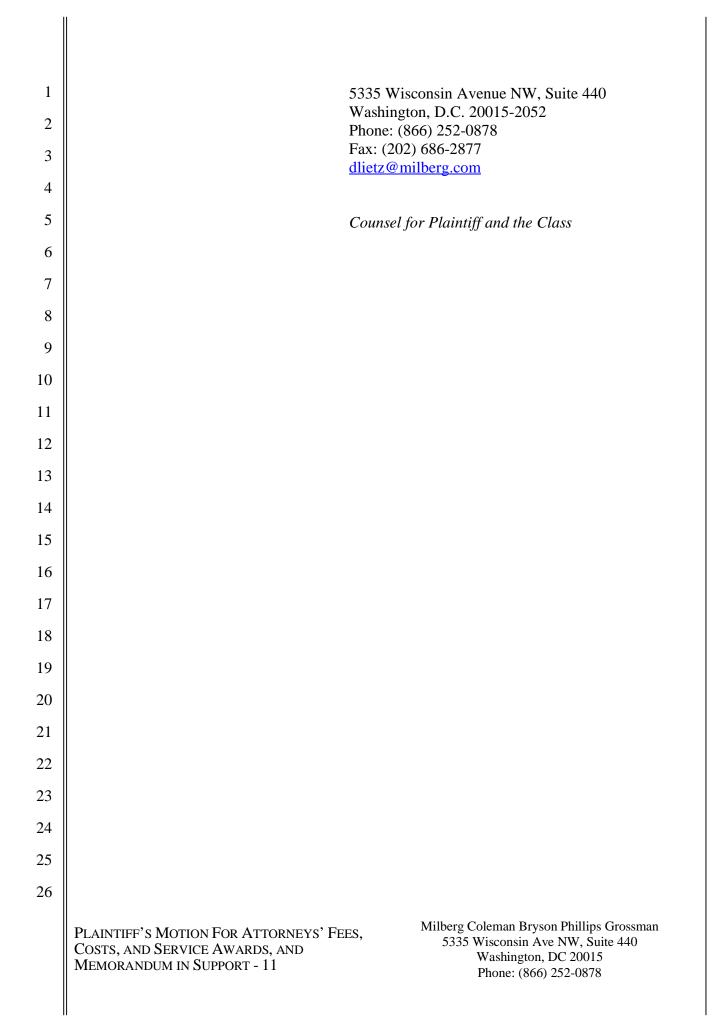
**MEMORANDUM IN SUPPORT - 10** 

Dated this 23 <sup>rd</sup> day of June 2023.	
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Washington, DC 20015

Phone: (866) 252-0878

V.



1	WORD COUNT CERTIFICATION
2	I certify that this memorandum contains 3,282 words, in compliance with the Local Civil
3	Rules.
4	
5	<u>CERTIFICATE OF SERVICE</u>
6	I hereby certify that a true and correct copy of the foregoing document was served via King
7	County E-Service and/or email upon the following:
8	Kula I. Bakafka
9	Kyle J. Rekofke Amy P. Taylor
10	GORDON REES SCULLY MANSUKHANI, LLP 701 Fifth Avenue, Suite 2100
11	Seattle, WA 98104 Attorneys for Defendant
12	Attorneys for Defendants
13	DATED this 23rd day of June 2023.
14	/s/ James Gamboa
15	James Gamboa
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